

## Compliance Basic Policy

The Organization of Global Financial City Tokyo (hereinafter, referred to as “Organization”) recognizes that thorough compliance constitutes the foundation of its business activities, and thus, puts the highest priority on compliance in the course of its business operations, while releasing information concerning Tokyo as the attractive financial city in tandem with private and public sectors, with the aim of making Tokyo one of the top international financial cities in the world.

### 1 Respect for human rights

Organization is committed to respecting the basic human rights of all human beings and understanding any and all issues related to human rights including the origin, nationality, ethnicity, creed, sex, age, religion, academic history, social status, mental or physical functionality, gender identity, or sexual orientation, etc.

### 2 Thorough Compliance with Laws, Regulations, and Corporate Ethics

Organization shall at all times comply with the relevant laws, regulations and corporate ethics through every situation across all corporate activities.

### 3 Ensure that all corporate activities are justifiable and transparent

Organization will make public its operational performance and promotion of business activities in an effort to promote transparency in its corporate activities.

Further, Organization shall take utmost care in order to ensure that funds related to its business will not be used by any religious organization, political party, candidate for certain public offices, or antisocial forces. Organization shall not make unreasonable demands, pursue unjust profit, nor engage in dishonest public relations or publicity activities.

### 4 Appropriate handling of information

Organization shall appropriately manage personal information and other information obtained in the course of corporate activities and shall not provide the same to any third party without reasonable grounds.

### 5 Thorough crisis management

Organization shall, during ordinary circumstances, prepare for natural disasters, new infectious diseases, terrorism, cyber attacks, etc. that will pose a threat to its corporate activities, and also thoroughly implement systematic crisis management in the event of an emergency.

### 6 Appropriate correspondence with stakeholders

Organization shall respond appropriately to stakeholders so as not to undermine the trust of society in its fair business practices.

### 7 Other matters

Revision or abolition of this Basic Policy shall be made by resolution of the Board of Directors.

### (Supplementary Provisions)

This Basic Policy shall come into effect on December 15, 2022. (Resolution by the Board of Directors as of December 15, 2022)

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