

Membership Rules

Original: April 25, 2019

PURPOSE

Article 1 The purpose of these regulations are to set forth the requisite stipulations concerning such as membership criteria, member admission, member withdrawal, pursuant to the provisions set forth in Article 6 (3) of the Articles of Incorporation of The Organization of Global Financial City Tokyo (branded as FinCity.Tokyo, hereafter, the “Organization”).

TYPES OF MEMBERSHIP, MEMBERSHIP CRITERIA, AND ADMISSION PROCEDURE

Article 2-1 As stipulated in Article 6 of the Articles of Incorporation, there are four types of membership in the Organization: regular member, supporting member, start-up company member, and special member. The membership criteria for each types are stated in the following items.

(1) Voting member

A corporation or association that agrees with the activities of the Organization and can accurately, fairly, and efficiently carry out such activities, and possesses substantial social credibility .

(2) Associate member

A corporation or association that agrees with the activities of the Organization and can cooperate in such activities, and possesses substantial social credibility.

(3) Emerging corporate member

A company that agrees with the activities of the Organization and can cooperate in such activities, which has no more than 100 employees as of the date the membership application is submitted and was established less than 5 years ago (excepting companies previously granted start-up company membership of the Organization).

(4) Academic member

A highly public-spirited association, research or educational institution, or other corporation or association possessing substantial social credibility that agrees with the activities of the Organization and which the Board of Directors acknowledges to have the capacity to provide special cooperation.

Article 2-2 Applicants wishing to join the Organization as a voting member, an associate member, an emerging corporate member, or an academic member shall apply for membership by submitting a membership application (Supplementary Form 1) and the required supplementary documentation.

Article 2-3 Once the membership application described in the preceding clause is submitted, the Board of Directors shall make a decision on admission pursuant to the criteria defined in the Appendix, and the applicant shall be notified of the result.

HANDLING OF THE LIST OF MEMBERS AND MEMBER INFORMATION

Article 3-1 Members shall be registered in the relevant membership category in the list of members kept by the Organization .

Article 3-2 If the essential particulars written in the membership application described in the preceding clause change, a notice of change (Supplementary Form 2) must be submitted by the member in question.

Article 3-3 The information concerning members registered in the membership list must be handled

carefully, with due respect for the will of the members regarding the disclosure of information and the scope of disclosure.

MEMBERSHIP FEES

- Article 4-1 Detailed rules on the amount and due date of membership fees shall be stipulated in the Membership Fee Rules separately established by the general meeting of members pursuant to the provisions set forth in Article 8-1 of the Articles of Incorporation.

REASONS AND PROCEDURES FOR WITHDRAWAL

- Article 5-1 Members can voluntarily withdraw from the Organization by submitting a notice of withdrawal (Supplementary Form 3). In such a case, the information of members withdrawn shall be deleted from the list of members.
- Article 5-2 Start-up company members shall lose their membership as of the end of the third business year after their admission; however, this rule shall not apply to start-up company members that have submitted an application for a change in type of membership pursuant to the provisions set forth in Article 7-1 by the last day of the month preceding the month in which their membership will end, and whose change in type of membership is approved by the Board of Directors.
- Article 5-3 When membership is lost for reasons other than withdrawal pursuant to the provisions set forth in the preceding clause or in Article 11 of the Articles of Incorporation, the information of members withdrawn shall be deleted from the list of members in the same manner as that stated in Article 5-1.
- Article 5-4 When membership is lost for reasons stipulated in the preceding clauses, membership fees that have already been paid shall not be refunded. Furthermore, once a membership is lost, the membership title may not be used, even as a past membership title.

READMISSION

- Article 6-1 When a party that has lost its membership pursuant to the provisions set forth in the preceding article wishes to be readmitted, submission of another membership application as stated in Article 2, along with a written explanation of the reasons, shall be required.
- Article 6-2 Once a membership application for readmission as described in Article 6-1 is submitted, the Board of Directors shall make a decision on admission pursuant to the criteria defined in Article 2, and the applicant shall be notified of the result. However, if membership fees were not paid in full at the time of withdrawal, readmission shall not be permitted unless the overdue membership fees are paid. Furthermore, former members that have lost their membership as a result of being removed from the Organization will not be readmitted for a period of two years after the loss of membership.

CHANGE IN TYPE OF MEMBERSHIP

- Article 7-1 When a member wishes to change the type of membership, the submission of an application for a change in membership type (Supplementary Form 4) is required.
- Article 7-2 Once an application for a change in membership type as described in the preceding clause is submitted, the Board of Directors shall decide whether or not to approve the change pursuant to the criteria defined in the Appendix, and the member in question shall be notified of the result.

DETAILED RULES

- Article 8-1 Further details necessary for the management of membership, other than the regulations provided herein, shall be separately determined by the representative director.

REVISION OR ABOLITION

Article 9-1 Any revision or abolition of the Membership Rules shall be subject to a resolution of the general meeting of members.

ADDITIONAL PROVISIONS

These regulations enter into force as of the date of the Organization's registration (April 1, 2019).

(Appendix)

Membership criteria

The Board of Directors shall decide whether or not applicants can be admitted pursuant to the criteria stipulated in the following items.

- 1 The corporation or association meets the requirements of each type of membership stipulated in Article 2-1 of the Membership Rules and pledges to observe the Articles of Incorporation and other rules of the Organization.
- 2 The corporation or association's management and operation can be assumed to have stability and continuity.
- 3 The corporation or association is not classed as an Anti-Social Force. More specifically, Anti-Social Forces means those who fall under any of the following categories:
 - (1) an organized crime group;
 - (2) a member of an organized crime group;
 - (3) a person who was a member of an organized crime group within the past 5 years;
 - (4) a quasi-member of an organized crime group;
 - (5) a related company or an associated company of an organized crime group;
 - (6) a corporate racketeer etc.;
 - (7) persons engaging in criminal activities under the pretext of conducting social activities or campaigns, etc.;
 - (8) an organized crime syndicate;
 - (9) any other corporation, group, or person subordinate to the above;
 - (10) a corporation, group, or person recognized to have a relationship with any of the above (hereafter referred to as "an organized crime group etc.") such that the organized crime group etc. controls their management or operation;
 - (11) a corporation, group, or person recognized to have a relationship with an organized crime group etc. such that the organized crime group etc. is recognized to be substantially involved in their management or operation;
 - (12) a corporation, group, or person recognized as using an organized crime group etc. for improper means, for example, for the purpose of gaining fraudulent benefit for themselves, for their own company, or for a third party, or of doing harm to a third party, etc.;
 - (13) a corporation, group, or person recognized as having some involvement with an organized crime group etc., such as providing funding, facilities, or favors to an organized crime group etc.;
 - (14) a corporation or group whose executive officers or persons who are substantially involved in management have a socially objectionable relationship with an organized crime group etc.

(Supplementary Form 2)

For the attention of: The Organization of Global Financial City Tokyo

Date (month/day/year):

Member corporation/association:

Seal/signature: _____

Notice of Change

The member named herein hereby serves notice that the following particulars of which The Organization of Global Financial City Tokyo was notified have changed as detailed below:

(Details)

Area of change	
Details	

(End of document)

(Supplementary Form 3)

For the attention of: The Organization of Global Financial City Tokyo

Date (month/day/year):

Member corporation/association:

Seal/signature: _____

Notice of Withdrawal of Membership

The member named herein hereby serves notice of withdrawal from membership of The Organization of Global Financial City Tokyo.

(Details)

Member name				
Membership type before withdrawal	<input type="checkbox"/> Voting Member	<input type="checkbox"/> Associate Member	<input type="checkbox"/> Emerging Corporate Member	<input type="checkbox"/> Academic Member
Preferred date of withdrawal	(Month)	(Day)	(Year)	

(End of document)

(Supplementary form 4)

For the attention of: The Organization of Global Financial City Tokyo

Date (month/day/year):

Member corporation/association:

Seal/signature: _____

Application for Change in Membership

The member named herein (hereafter, the “Member”) hereby applies for a change in type of membership of The Organization of Global Financial City Tokyo (branded as FinCity.Tokyo, hereafter, the “Organization”).

Following approval by the Organization, the Member agrees to pay annual membership fees as specified for the revised membership type, pursuant to the provisions set forth in Article 3- 2 of the Organization’s Membership Fee Rules.

(Details)

Current membership type	<input type="checkbox"/> Voting Member <input type="checkbox"/> Associate Member <input type="checkbox"/> Emerging Corporate Member <input type="checkbox"/> Academic Member
Current number of memberships	
Revised membership type	<input type="checkbox"/> Voting Member <input type="checkbox"/> Associate Member <input type="checkbox"/> Emerging Corporate Member <input type="checkbox"/> Academic Member
Revised number of memberships	

(End of document)